



NCS Sampling Design

Battelle Design Options Report

NCSAC Meeting – March 5, 2004



Table of Contents – Battelle et al

1 INTRODUCTION

1.1 NCS Scope, Objectives, Guiding Principles, and Givens

1.2 Organization and Governance

1.3 Selection of Core Hypotheses

1.4 Guidance for the Study Design

1.5 History of the Design Effort

1.6 The Family of Designs

1.7 Roadmap to the Rest of the Report



Table of Contents - Battelle et al

2 TARGET POPULATIONS AND CANDIDATE SAMPLING FRAMES

2.1 Ideal and Potentially Realized Populations

2.2 Candidate Sampling Frames

2.3 Description of Multi-frame Samples

3 DESCRIPTION OF A FAMILY OF DESIGNS



Table of Contents - Battelle et al

4 ORGANIZATIONAL STRUCTURES FOR IMPLEMENTING THE NCS

4.1 Candidate Organizational Structures

4.1.1 Government Organizations

4.1.2 Nongovernmental Organizations

4.2 Targeting Organizational Expertise to Study Activities

4.3 Relationship Between Organizational Structures and Sampling Frame

(by study phases)



Table of Contents - Battelle et al

- 5 IMPLEMENTATION DETAILS FOR DIFFERENT SAMPLING APPROACHES, OVERSAMPLING, AND DESIGN EFFECTS
 - 5.1 Review of Sampling Strategies Considered for Sampling Within Purposively Selected Qualified Centers
 - 5.2 Review of Sampling Strategies Consistent with a National Probability-Based Sample
 - 5.3 Combining Information Across Multiple Probability-Based Samples..... 5-13
 - 5.4 Oversampling of Subpopulations
 - 5.5 Design Effects for Relationships



Table of Contents - Battelle et al

6 REVIEW OF NCS CORE HYPOTHESES AND CRITICAL MEASURES

6.1 Choice of Sub-hypotheses for Assessing Power

7 ASSUMPTIONS ON RECRUITMENT AND RETENTION

7.1 Initial Response Rates

7.2 Retention Rates

7.3 Considerations and Limitations



Table of Contents - Battelle et al

8 COST ESTIMATES FOR NCS SAMPLING DESIGN OPTIONS

8.1 Cost Differentiators Among the Four Sampling
Frames

8.2 The Seven Major Cost Areas (by phase)

8.3 The Cost Estimating Model

8.4 Design Strategies

8.5 Cost Modeling Output

8.6 Analysis and Conclusions From Initial Cost
Modeling



Table of Contents - Battelle et al

9 POWER CALCULATIONS

9.1 Designs Considered

9.2 Methods

9.3 Assumptions and Selection of Hypotheses to Study

9.4 Results

9.5 Conclusions and Limitations



Table of Contents - Battelle et al

- 10 RESULTS, CONCLUSIONS, AND RECOMMENDATIONS FOR FUTURE WORK
 - 10.1 Discussion of Results
 - 10.2 Discussion of the Potential Impact of Assumptions on the Results and other Advantages and Limitations of the Technical Approach
 - 10.3 Evaluation of How Different Sampling Approaches Meet NCS Objectives and Study Design Goals
 - 10.4 Conclusions
 - 10.5 Recommendations for Future Work